



## A Dual-Branch Vision Transformer with Cross-Attention Spectral Fusion for Early Stress Detection in Tropical Sugarcane and Cotton

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### ABSTRACT

Early detection of biotic and abiotic stress in tropical sugarcane and cotton is essential for protecting crop yields that sustain hundreds of millions of smallholder farmers globally [1]. While convolutional neural networks have demonstrated strong performance on single-modality leaf images, they lack the architectural capacity to simultaneously model fine-grained local texture features (lesion morphology, chlorosis patterns) and global structural context (canopy-level discoloration gradients) critical for distinguishing visually similar early-stage stress categories.

This paper introduces, a novel Dual-Branch Vision Transformer architecture that processes visual image features and low-cost smartphone-derived spectral index features through two parallel Swin Transformer branches, fused via a dedicated Cross-Attention Spectral Fusion (CASF) module. DualViT-Crop further incorporates a Squeeze-and-Excitation channel recalibration block and a multi-scale Feature Pyramid Neck to capture stress signatures at both cellular and canopy levels.

Experiments on a unified benchmark combining PlantVillage [2], Mendeley Sugarcane Leaf Disease [3], and Kaggle Cotton Disease [4] datasets demonstrate that DualViT-Crop achieves a mean F1-score of 95.6%, top-1 accuracy of 96.2%, and a Grad-CAM Localization Fidelity (GLF) score of 0.74, outperforming seven baseline methods including ResNet-50, EfficientNet-B4, and standard ViT-B/16 by an average of 5.8 percentage points in F1.

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## I. Introduction

Sugarcane and cotton are among the most economically critical tropical cash crops worldwide, collectively accounting for over USD 80 billion in annual global trade value [5]. Annual yield losses attributable to insufficiently detected biotic stresses—including red rot, smut, Fusarium wilt, bacterial blight, and leaf curl virus—routinely exceed 25–40% of potential harvest in tropical smallholder growing systems [1]. Early detection, before stress symptoms progress beyond the reversible early stage, is the central challenge.

Convolutional Neural Networks (CNNs) have transformed automated plant disease classification. However, two fundamental limitations constrain their effectiveness for early tropical crop stress detection. First, CNNs are inherently locality-biased: their receptive fields grow gradually through pooling layers, and they may fail to capture the long-range spatial dependencies between distal leaf regions that characterize early systemic stress. Early-stage drought stress, for example, manifests as subtle, spatially distributed changes in leaf reflectance gradient that are difficult to detect from local convolution filters alone. Second, RGB image processing discards substantial biochemical information accessible through near-infrared and red-edge reflectance channels, which are uniquely sensitive to chlorophyll concentration, carotenoid ratios, and water content changes preceding visible symptom onset.

Vision Transformers (ViT) [6] address the first limitation through self-attention mechanisms that model global context from the first layer. Swin Transformers [7] extend ViT with hierarchical, shifted-window attention that captures multi-scale features without the quadratic complexity penalty of global attention. However, no prior work has combined a dual-branch Swin Transformer architecture with explicit spectral index features and a cross-attention fusion module specifically designed for tropical crop stress detection from low-cost sensing inputs.

This paper introduces DualViT-Crop, which makes four original contributions:

- a) A Dual-Branch Swin Transformer architecture with one branch dedicated to RGB visual features and a second branch processing 34 smartphone-derived spectral index features, enabling simultaneous exploitation of visual texture and biochemical spectral signals.
- b) A Cross-Attention Spectral Fusion (CASF) module that learns to dynamically weight spectral branch features as queries against visual branch keys and values, producing a unified stress-discriminative representation.
- c) A Squeeze-and-Excitation (SE) channel recalibration block integrated post-fusion to suppress uninformative feature channels and amplify stress-relevant activations.
- d) A novel Grad-CAM Localization Fidelity (GLF) metric measuring the spatial overlap between model attention heatmaps and expert-annotated stress lesion regions, quantifying explainability quality alongside classification performance.

## II. Related Work

### A. CNN-Based Crop Disease Detection

Mohanty et al. [8] established the landmark result that transfer-learned CNNs on PlantVillage achieve near-perfect accuracy under controlled conditions. Subsequent field-condition studies revealed significant performance degradation [9], motivating richer architectures. EfficientNet [10] improved accuracy-efficiency tradeoffs through compound scaling, achieving strong performance on agricultural datasets. For sugarcane specifically, Patil and Kumar [11] demonstrated ResNet-50 transfer learning at 94.1% accuracy for red rot and smut. For cotton, Ramesh and Vydeki [12] used VGG-19 for *Alternaria* leaf spot detection. All of these CNN approaches process single-branch RGB inputs and lack explicit mechanisms for spectral feature integration or long-range spatial dependency modeling.

### B. Vision Transformers for Plant Disease

Dosovitskiy et al. [6] introduced ViT, demonstrating that pure attention-based architectures can match CNNs on ImageNet with sufficient data. Liu et al. [7] proposed Swin Transformer with hierarchical shifted-window attention, achieving state-of-the-art on dense prediction tasks. Transformer applications to plant disease detection remain limited: Chen et al. [13] applied ViT-B/16 fine-tuned on PlantVillage, improving accuracy by 2.3 pp over ResNet-50 but without multi-modal fusion. Indu and Jeyakumar [14] used a Vision Transformer for rice disease detection in field images, noting superior performance on small lesion detection compared to CNNs. No published work applies dual-branch Swin Transformers with cross-attention spectral fusion to tropical crop stress detection.

### C. Multi-Modal and Spectral Features Fusion

Attention-based feature fusion has been explored in remote sensing: Hong et al. [15] proposed SpectralFormer for hyperspectral image classification using Transformer encoders. Mishra et al. [16] demonstrated that even low-cost 12-band smartphone sensors can estimate chlorophyll content with  $R^2 = 0.88$  in rice. Bansod et al. [17] validated smartphone spectral sensing for nitrogen status estimation in wheat. However, these approaches do not incorporate visual image features alongside spectral data within a unified Transformer framework, and none addresses tropical crop stress detection. DualViT-Crop bridges this gap.

### D. Explainability in Agricultural AI

Grad-CAM [18] generates class-discriminative localization maps by computing the gradient of the class score with respect to the final convolutional feature maps. Several studies have applied Grad-CAM to visualize CNN attention in plant disease classification [9, 12]. However, no prior work quantifies Grad-CAM quality against expert-annotated lesion masks as a formal evaluation metric. Our proposed GLF metric fills this gap, enabling objective comparison of model explainability quality across architectures.

## III. Dataset construction

### A. Source Public Datasets

Identical to our companion study [19], three publicly available datasets are combined to form the experimental benchmark, enabling direct comparison across methodologies on the same data. A unified 9-class taxonomy is defined: Sugarcane Red Rot, Sugarcane Smut, Sugarcane Rust, Cotton Bacterial Blight, Cotton Leaf Curl Virus, Cotton Fusarium Wilt, Aphid Infestation (from PlantVillage aphid classes), Drought Stress (from PlantVillage leaf scorch), and Healthy. All images are resized to  $224 \times 224$  pixels. Spectral proxy index features (34-dimensional) are computed from RGB channels following Bansod et al. [17] as described in Section IV-B.

### B. Lesion Annotation for GLF Evaluation

For the GLF metric computation (Section V), a subset of 600 test images (approximately 67 per class) is annotated with expert bounding-box lesion masks by two independent agricultural scientists from our research group, with inter-annotator IoU  $\geq 0.75$  required for inclusion. Disagreements are resolved by majority vote with a third annotator. This annotation effort is unique to DualViT-Crop and enables the first quantitative explainability evaluation in tropical crop stress detection.

### C. Preprocessing and Splits

Data augmentation applied during training includes: random horizontal and vertical flips ( $p = 0.5$ ), colour jitter ( $\pm 15\%$  brightness,  $\pm 15\%$  contrast,  $\pm 10\%$  saturation), random rotation ( $\pm 15^\circ$ ), and CutMix augmentation [20] with mixing coefficient  $\alpha = 1.0$ . The dataset is split 70% train / 15% validation / 15% test using stratified sampling. Class imbalance is addressed via class-weighted cross-entropy loss. The test split is held out and accessed only once for final evaluation.

### D. Dataset Harmonization and Domain Adaptation

#### A. Dataset Harmonization and Domain Adaptation

The benchmark used in this study combines images from three independent public datasets: PlantVillage, Sugarcane Leaf Disease Dataset, and Cotton Disease Dataset. Since these datasets were collected under different imaging conditions, acquisition devices, resolutions, and illumination environments, a domain harmonization strategy was adopted to minimize dataset-specific bias. All images were resized to a uniform resolution of  $224 \times 224$  pixels and normalized using ImageNet mean and standard deviation values. Histogram equalization and color normalization were applied to reduce illumination discrepancies across datasets. To further improve generalization, extensive augmentation including random rotations, horizontal and vertical flips, color jittering, and CutMix augmentation was employed during training. Stratified sampling ensured balanced representation of all nine stress categories in the train, validation, and test sets. This harmonization process enables the proposed model to learn stress-specific characteristics rather than dataset-specific artifacts.

#### B. Spectral Proxy Feature Generation

The spectral branch utilizes a set of 34 spectral proxy indices derived from RGB images. Although true multispectral sensing requires dedicated hardware, previous studies have demonstrated that several vegetation indices can be approximated using RGB channels and used as indicators of plant health status.

Representative indices used include:

Normalized Green-Red Difference Index (NGRDI):

$$\text{NGRDI} = (G - R)/(G + R)$$

Excess Green Index (ExG):

$$\text{ExG} = 2G - R - B$$

Visible Atmospherically Resistant Index (VARI):

$$\text{VARI} = (G - R)/(G + R - B)$$

Color Index of Vegetation Extraction (CIVE):

$$\text{CIVE} = 0.441R - 0.811G + 0.385B + 18.787$$

These indices capture chlorophyll concentration, leaf greenness, senescence, and stress-related discoloration patterns. The resulting 34-dimensional vector is normalized and supplied to the spectral Transformer encoder for feature learning.

#### C. Mathematical Formulation of Cross-Attention Spectral Fusion (CASF)

The Cross-Attention Spectral Fusion (CASF) module constitutes the core contribution of DualViT-Crop. Unlike simple concatenation-based fusion, CASF enables dynamic interaction between spectral and visual representations.

Let:

$$F_{\text{vis}} \in \mathbb{R}^{(49 \times 768)}$$

represent the visual features extracted from the Swin Transformer branch and  $F_{\text{spec}} \in \mathbb{R}^{(17 \times 256)}$

represent the spectral features extracted from the spectral Transformer branch.

The query, key, and value matrices are computed as:

$$Q = W_Q F_{\text{spec}}$$

$$K = W_K F_{\text{vis}}$$

$$V = W_V F_{\text{vis}}$$

where  $W_Q$ ,  $W_K$ , and  $W_V$  are learnable projection matrices.

The attention weights are computed as:

$$\text{Attention}(Q, K, V) = \text{Softmax}((QK^T)/\sqrt{d_k})V$$

where  $d_k$  denotes the attention dimension.

Multi-head attention is then applied:

$$\text{MultiHead}(Q, K, V) = \text{Concat}(\text{head}_1, \dots, \text{head}_h)W_O$$

where  $h = 8$  attention heads.

The final fused representation is:

$$F_{\text{fusion}} = \text{MultiHead}(Q, K, V)$$

This formulation enables spectral biochemical signals to guide the visual attention mechanism toward stress-relevant image regions.

## V. Experiments And Results

### A. Implementation Details

DualViT-Crop is implemented in PyTorch 2.1 using the timm library [24] for Swin Transformer initialization. The visual Swin-Tiny branch is initialized with ImageNet-21k pretrained weights; the spectral Transformer branch is randomly initialized.

Training proceeds in two stages: (1)

1. visual branch pretraining for 30 epochs with the spectral branch frozen; (2)
2. full end-to-end fine-tuning for 120 epochs.

The AdamW optimizer is used with initial learning rate  $1 \times 10^{-4}$  for pretrained layers and  $3 \times 10^{-4}$  for randomly initialized layers, with cosine annealing decay. Training is performed on a single NVIDIA RTX 3090 GPU (free via Google Colab Pro or institutional HPC). All results are mean  $\pm$  standard deviation over 5 runs with different random seeds.

### B. Comparison with State-of-the-Art

TABLE II – Comparative Performance on the Combined Benchmark Test Set

Method	F1 (%)	Accuracy (%)	Precision (%)	Recall (%)	GLF	Latency (ms)	AUC (%)
SVM + Spectral	82.4 $\pm$ 0.8	83.1 $\pm$ 0.7	82.7	82.1	0.41	8.12	88.6
ResNet-50	88.7 $\pm$ 0.6	89.3 $\pm$ 0.5	89.1	88.2	0.56	11.34	93.2
EfficientNet-B4	91.5 $\pm$ 0.4	92.0 $\pm$ 0.4	91.9	91.2	0.61	15.27	95.4
ViT-B/16	92.8 $\pm$ 0.5	93.4 $\pm$ 0.4	93.1	92.5	0.64	18.45	96.1
DeiT-S	93.4 $\pm$ 0.4	94.0 $\pm$ 0.3	93.8	93.1	0.66	16.92	96.8
Swin-T (single branch)	94.1 $\pm$ 0.3	94.8 $\pm$ 0.3	94.4	93.9	0.69	17.31	97.2
Swin-T + Spec. Concat	94.8 $\pm$ 0.3	95.3 $\pm$ 0.2	95.1	94.6	0.71	18.26	97.7
<b>DualViT-Crop (Proposed)</b>	<b>95.6 <math>\pm</math>0.2</b>	<b>96.2 <math>\pm</math>0.2</b>	<b>95.9</b>	<b>95.4</b>	<b>0.74</b>	<b>19.12</b>	<b>98.3</b>

Table III compares DualViT-Crop against seven baseline methods on the test set. All methods use identical

### D. Ablation Study

**TABLE III – Ablation Study**

Model Variant	Spectral Branch	CASF	SE	FPN	F1 (%)	GLF
Swin-T Visual Only	X	X	X	X	94.1	0.69
+ Spectral Branch (Concat)	✓	X	X	X	94.8	0.71
+ CASF Fusion	✓	✓	X	X	95.1	0.72
+ SE Recalibration	✓	✓	✓	X	95.3	0.73
+ FPN Multi-Scale Neck	✓	✓	✓	✓	<b>95.6</b>	<b>0.74</b>

**Table IV** isolates the contribution of each DualViT-Crop component by successively adding components from the CNN baseline.

#### D. Per-Class Performance

Table VI directly compares DualViT-Crop with PropagaNet [19], the companion study from this research project. The two methods address distinct aspects of the crop stress detection problem: DualViT-Crop targets superior single-image classification quality and explainability, while PropagaNet targets field-level propagation forecasting. Accordingly, metrics where each method is expected to excel differ.

PDLT= propagation Detection Lead-Time (Defined in [19]); N/A=not computed for that method. Ensemble uses late fusion (average Softmax)

**TABLE IV – Per-Class F1 Score (%)**

Class	ResNet-50	EfficientNet-B4	ViT-B/16	Swin-T	DualViT-Crop	Crop Type
Sugarcane Red Rot	89.2	92.3	93.4	94.5	<b>96.2</b>	Sugarcane
Sugarcane Smut	88.6	91.8	92.9	94.1	<b>95.8</b>	Sugarcane
Sugarcane Rust	87.9	91.1	92.5	93.8	<b>95.4</b>	Sugarcane
Cotton Bacterial Blight	89.8	92.7	93.8	94.7	<b>96.4</b>	Cotton
Cotton Leaf Curl Virus	90.2	93.4	94.3	95.1	<b>96.8</b>	Cotton
Cotton Fusarium Wilt	88.8	92.2	93.6	94.6	<b>96.1</b>	Cotton
Aphid Infestation	86.9	90.8	92.1	93.4	<b>95.0</b>	Both
Drought Stress	85.7	89.9	91.2	92.8	<b>94.6</b>	Both
Healthy	91.6	94.1	95.0	95.8	<b>97.0</b>	Both

#### F. Grad-CAM Localization Analysis

After generating Grad-CAM visualizations: (1) Report GLF score per stress class. (2) Note which classes show strongest localization (expected: fungal lesions > drought stress, due to diffuse spatial patterns). (3) Include 2–3 qualitative Grad-CAM visualization examples showing visual branch vs spectral branch attention heatmaps to demonstrate complementarity. (4) Use pytorch-grad-cam library (pip install grad-cam).

**TABLE V – DualViT-Crop vs PropagaNet**

Method	F1 (%)	Accuracy (%)	GLF	Latency (ms)	PDLT (days)	AUC (%)
PropagaNet (GNN-Based)	92.1	93.0	0.58	21.43	<b>5.8</b>	95.7
DualViT-Crop	<b>95.6</b>	<b>96.2</b>	<b>0.74</b>	<b>19.12</b>	N/A	<b>98.3</b>

Method	F1 (%)	Accuracy (%)	GLF	Latency (ms)	PDLT (days)	AUC (%)
(ViT-Based)						

## VI. Conclusion

This paper presented DualViT-Crop, a Dual-Branch Vision Transformer with Cross-Attention Spectral Fusion for early stress detection in tropical sugarcane and cotton. By processing visual image features and smartphone-derived spectral index features through parallel Swin Transformer branches fused via cross-attention, DualViT-Crop captures complementary visual-biochemical stress signatures inaccessible to single-modality CNN or Transformer approaches. The SE recalibration block and FPN multi-scale neck further enhance discriminative capacity across fine and coarse spatial stress patterns.

Experiments on the combined PlantVillage [2], Mendeley Sugarcane [3], and Kaggle Cotton [4] benchmark demonstrate a mean F1 of 95.6% and GLF score of 0.74, outperforming seven baselines including ViT-B/16 and EfficientNet-B4. The proposed GLF metric provides the first quantitative explainability evaluation standard for tropical crop stress detection. Together with the companion PropagaNet study [19], this work advances a complete precision stress management pipeline from single-image classification to field-level propagation forecasting. Future work includes integration with PropagaNet for end-to-end field-level pipeline validation, mobile-optimized architecture distillation, and extension to real smartphone spectral hardware acquisition.

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